

**Ref No:** 43/2023-24

**Dated:** July 21, 2023

**The Manager,**  
Listing Department,  
The National Stock Exchange of India Ltd.,  
Exchange Plaza, 5<sup>th</sup> Floor, Plot C/1, G Block,  
Bandra - Kurla Complex, Bandra (E),  
Mumbai - 400 051. Tel No.: 2659 8235  
Fax No.: 26598237/ 26598238  
Trading Symbol: ANANDRATHI

**The Manager,**  
Listing Department,  
BSE Limited,  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Mumbai - 400 001.  
Tel no.: 22721233  
Fax No.: 22723719/ 22723121/ 22722037  
Scrip Code: 543415

**Sub: Reconciliation of Share Capital Audit for the Quarter ended 30<sup>th</sup> June, 2023**

Dear Sir/Madam,

In terms of Regulation 76 of The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we are enclosing herewith the Reconciliation of Share Capital Audit Report for the first quarter ended 30<sup>th</sup> June, 2023.

We request you to kindly take the above on record.

Thanking You,

Yours faithfully,  
For **Anand Rathi Wealth Limited**



**Nitesh Tanwar**  
Company Secretary and Compliance Officer  
M. No. FCS-10181

**Enclosed: As above**

# Rathi & Associates

## COMPANY SECRETARIES

A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.  
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

To,

The Board of Directors,

Anand Rathi Wealth Limited

A Wing, Express Zone, 10<sup>th</sup> floor,

Western Express Highway, Goregaon (East),

Mumbai - 400 063

### Sub: Reconciliation of Share Capital Audit Report

We have examined the Register of Members, beneficiary details furnished by the Depositories and other records / documents maintained by **Anand Rathi Wealth Limited** (hereinafter referred to as "the Company") and Registrar and Share Transfer Agents, **Link Intime India Private Limited**, for issuing this certificate, in accordance with Regulation 76 of the SEBI (Depositories and Participants) Regulations, 2018 promulgated with effect from October 3, 2018.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verification as considered necessary by us, we hereby certify the Reconciliation of Share Capital Audit report in the prescribed format as under:

1.	For quarter ended	June 30, 2023
2.	ISIN	INE463V01026
3.	Face Value	Rs. 5/-
4.	Name of the Company	ANAND RATHI WEALTH LIMITED
5.	Registered office Address	A Wing, Express Zone, 10 <sup>th</sup> floor, Western Express Highway, Goregaon (East), Mumbai - 400 063



6.	Correspondence Address	A Wing, Express Zone, 10 <sup>th</sup> floor, Western Express Highway, Goregaon (East), Mumbai - 400 063
7.	Telephone & Fax No.	Tel.: (022)- 6281 7000
8.	Email Address	<a href="mailto:csarwsl@rathi.com">csarwsl@rathi.com</a>
9.	Name of the Stock Exchanges where the Company's securities are listed	<b>BSE Limited</b> <b>National Stock Exchange of India Limited</b>

		Number of Shares
10.	Issued Capital	4,16,88,247

		Number of Shares	% of Total Issued Capital
11.	Listed Capital (Exchange-wise)		
	BSE Limited	4,16,88,247	100%
	National Stock Exchange of India Limited	4,16,88,247	100%

		Number of Shares	% of Total Issued Capital
12.	Held in dematerialized form in CDSL	3,22,57,035	77.38%
13.	Held in dematerialized form in NSDL	9431211	22.62%
14.	Physical	1	0.00%
15.	Total No. of Shares (12+13+14)	<b>4,16,88,247</b>	<b>100%</b>

16.	Reasons for difference if any, between(10&11) (10&15), (11&15)	NA
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17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below:
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Particulars of changes in share capital	No. of Shares	Applied/ Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-principal approval pending for Stock Exchanges (Specify Names)
NA	NA	NA	NA	NA	NA	NA

18.	Register of Members is updated (Yes/No)	<b>YES</b>
	If not, updated up to which date	<b>Not Applicable</b>

19.	Reference of previous quarter with regards to excess dematerialized shares, if any.	<b>Not Applicable</b>
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20.	Has the Company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why?	<b>Not Applicable</b>
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21.	The total number of requests, if any, confirmed/rejected after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay		
	Total No. of demat Requests	No. of Requests	No. of Shares
	Confirmed/rejected after 21 days	<b>NIL</b>	<b>NIL</b>
	Pending for more than 21 days	<b>NIL</b>	<b>N.A.</b>

22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	<b>Mr. Nitesh Tanwar</b> <b>Membership No. 10181</b> <b>Tel No.: (022)- 49186000</b>
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23.	Name, Address, Tel & Fax No., Regn. No. of the Auditor	<p><b>Mr. Himanshu S. Kamdar</b>  <b>Rathi &amp; Associates</b>  <b>Company Secretaries</b></p> <p>A-303, Prathamesh, 3<sup>rd</sup> Floor,  Raghuvanshi Mills Compound, 11-12,  Senapati Bapat Marg, Lower Parel,  Mumbai – 400 013.</p> <p>Tel.: (022) - 4076 4444  FCS No. 5171  COP No. 3030</p>
24.	Appointment of Common agency for share registry work.	<p><b>Link Intime India Private Limited</b></p> <p>C101, 1<sup>st</sup> Floor, 247 Park, Lal Bahadur  Shastri Marg, Vikhroli (West),  Mumbai – 400 083</p> <p>Tel: (022) – 4918 6270  Fax : (022) – 4918 6060</p>
25.	Any other Detail that the auditor may like to provide (e.g. BIFR Company, delisting from Stock Exchanges, company changed its name etc.	<b>None</b>

For **RATHI & ASSOCIATES**  
**COMPANY SECRETARIES**



Place: Mumbai  
Date: July 11, 2023

**HIMANSHU S. KAMDAR**  
**PARTNER**  
M. NO.: F5171  
COP NO.: 3030  
UDIN: F005171E000585696  
Peer Review Cert. No.: 668/2020