

| RECONCILIATION OF SHARE CAPITAL AUDIT | | | |
|--|---|-----------|----------|
| Scrip code* | 543415 | | |
| NSE Symbol | ANANDRATHI | | |
| MSEI Symbol | NA | | |
| ISIN | INE463V01026 | | |
| Whether company has CIN | Yes | | |
| CIN Number | U67120MH1995PLCo86696 | | |
| Name of the company* | Anand Rathi Wealth Limited | | |
| Registered office address | | | |
| Registered office address* | Express Zone, A Wing, 10th Floor, Western Express Highway, Goregaon (E), Mumbai -400063 | | |
| Registered office state* | Maharashtra | | |
| Registered office city* | Mumbai | | |
| Registered office district* | Mumbai | | |
| Registered office pin code* | 400063 | | |
| Registered office contact number* | ISD Code* | STD Code* | Number* |
| | +91 | 022 | 62817000 |
| Registered office fax | | | |
| Registered office country* | INDIA | | |
| Registered office website | | | |
| Registered office email | csarwsl@rathi.com | | |
| Correspondence address | | | |
| Same as above | Yes | | |
| Correspondence address | Express Zone, A Wing, 10th Floor, Western Express Highway, Goregaon (E), Mumbai -400063 | | |
| Correspondence state | Maharashtra | | |
| Correspondence city | Mumbai | | |
| Correspondence district | Mumbai | | |
| Correspondence pin code | 400063 | | |
| Correspondence contact number | ISD Code | STD Code | Number |
| | +91 | 022 | 62817000 |
| Correspondence fax | | | |
| Correspondence country | INDIA | | |
| Correspondence email | csarwsl@rathi.com | | |
| Reporting quarter* | 30-06-2022 | | |
| Face value* | 5 | | |

| Stock Exchange Details : | Name of stock Exchange | Listed Capital | % Of total issued capital |
|---|---|-----------------------|----------------------------------|
| Name of other stock exchanges where the company's securities are listed | BSE Ltd | 41616227 | 100 |
| | National Stock Exchange of India Ltd(NSE) | 41616227 | 100 |
| Remarks | | | |

| Capital Details : | | |
|---|------------------|---------------------------|
| | Number of shares | % Of total issued capital |
| Issued capital* | 41616227 | |
| Listed capital (BSE) (As per company records)* | 41616227 | 100 |
| Held in dematerialised form in CDSL* | 32468272 | 78.02 |
| Held in dematerialised form in NSDL* | 9147954 | 21.98 |
| Physical* | 1 | 0 |
| Total no.of shares* | 41616227 | 100 |
| Reasons for difference if any, Between issued capital and listed capital* | 0 | |
| Reasons for difference if any, Between issued capital and total number of shares* | 0 | |

| | |
|---|---|
| Reasons for difference if any, Between listed capital and total number of shares* | o |
|---|---|

Certifying the details of changes in share capital during the quarter under consideration as per Table below :

| | |
|-------------------------------------|----|
| Whether changes during the quarter* | No |
|-------------------------------------|----|

| | |
|--|-----|
| Register of members is updated* | Yes |
| If not, Updated upto which date | |
| Reference of previous quarter with regards to excess dematerialised shares, If any. | |
| Has the company resolved the matter (excess dematerialised shares mentioned above) in the current quarter ?* | NA |
| If not, Reason why ? | |

Mentioned the total no.of requests, If any, confirmed after 21 days and the total no.of requests pending beyond 21 days with the reasons for delay

| Total no.of demat requests | No.of requests* | No.of shares* | Reasons for delay |
|--------------------------------|-----------------|---------------|-------------------|
| Confirmed after 21 days* | o | o | |
| Pending for more than 21 days* | o | o | |
| Remarks | | | |

Compliance Officer Details

| | |
|---|--------------------|
| Whether Compliance officer appointed | Yes |
| Whether Qualified Company Secretary is Compliance Officer * | Yes |
| Name of the compliance officer* | Jinal Trivedi |
| PAN of the compliance officer* | AOCPD5828B |
| Date of Appointment | 31-05-2022 |
| Designation* | Compliance Officer |
| Membership Nos | ACS 58546 |
| Mobile no.* | 2262817000 |
| Fax no. | |
| E-mail id* | csarwsl@rathi.com |
| Whether any change in Compliance Officer during the previous 2 quarters* | Yes |
| Whether the previous Compliance Officer was Qualified Company Secretary * | Yes |

Whether the previous Compliance Officer was Qualified Company Secretary *

| | |
|---|----------------|
| Previous Compliance Officer Name | Ashish Chauhan |
| PAN of the previous compliance officer* | AHRPC9191Q |
| Membership Nos | FCS 11214 |
| Date of Appointment | 02-12-2019 |
| Date of Cessation | 30-05-2022 |

Date of Appointment

| | |
|---|--|
| CA/CS/CMA* | Company secretary |
| Name of certifying auditor* | Himanshu S Khamdar |
| Date of issue of report* | 20-07-2022 |
| Address* | A-303, Prathamesh, 3rd Floor, Raghvanshi Mills Compound, 11-12 Senapati Bapat Marg, Lower Parel (West) |
| City* | Mumbai |
| Pincode* | 400013 |
| Contact no.* | 022-40764444 |
| Fax no. | - |
| Email | associates.rathi8@gmail.com |
| Membership no.* | 5171 |
| Firms registration number of audit firm | |
| Name of the firm* | Rathi & Associates |
| COP number* | 3030 |

Name of the firm*

| | |
|---|--|
| Appointment of common agency for share registry work* | Yes |
| Whether Registered with SEBI | |
| Name of RTA | Linkin Time India Private Limited |
| SEBI registration no. | INR000004058 |
| Address | C-101, 247 Park, L.B.S. Marg, Surya Nagar, Gandhi Nagar, Vikhroli West, Mumbai- 400083 |
| State | Maharashtra |
| City | Mumbai |
| Pincode | 400083 |
| Contact no. | 022-49186000 |
| Fax number of RTA | - |
| E-mail id | mumbai@linkintime.co.in |
| Website Address | https://linkintime.co.in/ |
| Whether any change in Registrar and Share Transfer Agents * | No |
| Previous Registrar and Share Transfer Agents Name | |
| Date of Cessation | |
| Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE) | |