

General information about company	
Scrip code	543415
NSE Symbol	ANANDRATHI
MSEI Symbol	NA
ISIN	INE463V01026
Name of the entity	ANAND RATHI WEALTH LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Yearly
Date of Report	31-03-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory													
Whether the listed entity has a Regular Chairperson									Yes				
Whether Chairperson is related to MD or CEO									No				Disqualification of Directors under section 164 of the C
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	
1	Mr	Anand Nandkishore Rathi	AADPR6532R	00112853	Non-Executive - Non Independent Director	Chairperson related to Promoter		25-06-1946	No				
2	Mr	Pradeep Kumar Gupta	ABRPG5512H	00040117	Non-Executive - Non Independent Director	Not Applicable		20-07-1967	No				
3	Mr	Rakesh Rawal	AAEPR9801J	02839168	Executive Director	Not Applicable	CEO	07-01-1956	No				
4	Mr	Kishan Gopal Somani	AAOPS3830L	00014648	Non-Executive - Independent Director	Not Applicable		11-07-1939	No				

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification
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5	Mr	Ramesh Chandak	ACVPC9915H	00026581	Non-Executive - Independent Director	Not Applicable		07-11-1946	No		
6	Mr	Mohan Tanksale	AALPT7284N	02971181	Non-Executive - Independent Director	Not Applicable		31-07-1953	No		
7	Mrs	Sudha Navandar	AAAPN9936C	02804964	Non-Executive - Independent Director	Not Applicable		02-11-1966	No		

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson							Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	02971181	Mohan Tanksale	Non-Executive - Independent Director	Chairperson	15-03-2018			
2	00112853	Anand Nandkishore Rathi	Non-Executive - Non Independent Director	Member	12-10-2021			
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	15-03-2018			
4	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	12-10-2021			
5	00014648	Kishan Gopal Somani	Non-Executive - Independent Director	Member	12-10-2021			

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson							Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	00014648	Kishan Gopal Somani	Non-Executive - Independent Director	Chairperson	15-03-2018			
2	00112853	Anand Nandkishore Rathi	Non-Executive - Non Independent Director	Member	15-03-2018			
3	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	15-03-2018			
4	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	13-10-2022			

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson							Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	00026581	Ramesh Chandak	Non-Executive - Independent Director	Chairperson	15-03-2018			
2	00112853	Anand Nandkishore Rathi	Non-Executive - Non Independent Director	Member	13-10-2022			
3	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	15-03-2018			

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	00112853	Anand Nandkishore Rathi	Non-Executive - Non Independent Director	Chairperson	16-07-2021			
2	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	16-07-2021			
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	16-07-2021			

4	02971181	Mohan Tanksale	Non-Executive - Independent Director	Member	16-07-2021		
5	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	16-07-2021		
6	01315143	Rajesh Bhutara	Chief Financial Officer	Member	16-07-2021		Textual Information(1)

Sr Text Block

Textual Information(1)

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00112853	Anand Nandkishore Rathi	Non-Executive - Non Independent Director	Chairperson	15-03-2018		
2	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	15-03-2018		
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	15-03-2018		

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
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Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	13-10-2022				Yes	7	7	4
2		12-01-2023	90		Yes	7	5	3
3		20-03-2023	66		Yes	7	5	3

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	13-10-2022				Yes	6	5	4	0
2	Audit Committee	12-01-2023	90			Yes	5	4	3	0
3	Nomination and remuneration committee	13-10-2022				Yes	3	3	2	0
4	Nomination and remuneration committee	12-01-2023	90			Yes	4	3	2	0

5	Nomination and remuneration committee	20-03-2023	66			Yes	4	3	2	0
6	Stakeholders Relationship Committee	12-01-2023				Yes	3	3	1	0

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Risk Management Committee	13-10-2022				Yes	5	5	3	1
8	Risk Management Committee	12-01-2023				Yes	5	5	3	1
9	Corporate Social Responsibility Committee	12-01-2023				Yes	3	3	1	0

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Nitesh Tanwar
2	Designation	Company Secretary and Compliance Officer

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**I. Disclosure on website in terms of Listing Regulations**

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		https://anandrathiwealth.in/
2	Terms and conditions of appointment of independent directors	Yes		https://anandrathiwealth.in/newpdf/pdf/3dec/TermsandConditionofappointmentofIndependentDirector.pdf
3	Composition of various committees of board of directors	Yes		https://anandrathiwealth.in/wealthpdf/8feb/BoardandCommitteeCompositions.pdf
4	Code of conduct of board of directors and senior management personnel	Yes		https://anandrathiwealth.in/wealthpdf/Code%20of%20Conduct%20for%20Board%20Members%20and%20Senior%20Management.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://anandrathiwealth.in/wealthpdf/13dec/13VigilMechanismWhistleBlowerPolicy.pdf
6	Criteria of making payments to non-executive directors	No	Forms Part of Annual Report	
7	Policy on dealing with related party transactions	Yes		https://anandrathiwealth.in/wealthpdf/23april/Policy-On-Related-Party-Transactions.pdf
8	Policy for determining 'material' subsidiaries	Yes		https://anandrathiwealth.in/wealthpdf/13dec/8MaterialityPolicyForDeterminationOfSubsidiaries.pdf
9	Details of familiarization programmes imparted to independent directors	Yes		https://anandrathiwealth.in/wealthpdf/23march/familiarizationofProgrammeofIDs-2022-23.pdf

Annexure II**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)****I. Disclosure on website in terms of Listing Regulations**

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://anandrathiwealth.in/Investorrelations.php
11	email address for grievance redressal and other relevant details	Yes		https://anandrathiwealth.in/Investorrelations.php
12	Financial results	Yes		https://anandrathiwealth.in/Investorrelations.php
13	Shareholding pattern	Yes		https://anandrathiwealth.in/Investorrelations.php
14	Details of agreements entered into with the media companies and/or their associates	Yes		https://anandrathiwealth.in/wealthpdf/16feb/AgreementCNBCTV18.pdf
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		https://anandrathiwealth.in/Investorrelations.php
16	New name and the old name of the listed entity	NA		

17	Advertisements as per regulation 47 (1)	Yes		https://anandrathiwealth.in/Investorrelations.php
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		https://anandrathiwealth.in/Investorrelations.php
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://anandrathiwealth.in/Investorrelations.php
21	Materiality Policy as per Regulation 30	Yes		https://anandrathiwealth.in/wealthpdf/13dec/9DeterminationOfMaterialityOfAnEventorinformat.pdf
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		https://anandrathiwealth.in/newpdf/pdf/3dec/DividendDistributionPolicy.pdf
23	It is certified that these contents on the website of the listed entity are correct	Yes		https://anandrathiwealth.in/Investorrelations.php

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	

22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	NA	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II

1	Name of signatory	Nitesh Tanwar
2	Designation	Company Secretary and Compliance Officer

Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II

1	Name of signatory	Nitesh Tanwar
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure

Applicability of disclosure	Applicable
Reason for Non Applicability	Textual Information(1)

I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below

(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to	
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Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	0	0	
Directors (including relatives) or any other entity controlled by them	0	0	
KMPs or any other entity controlled by them	0	0	

(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

(D) Additional Information

Textual Information(2)

II. Affirmations

Affirmations	Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.	Yes	Textual Information(3)
Name	Rajesh Bhutara	
Designation	CFO	
Place	Mumbai	
Date	21-04-2023	

Signatory Details

Name of signatory	Nitesh Tanwar
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	21-04-2023