

<b>General information about company</b>	
Scrip code	543415
NSE Symbol	ANANDRATHI
MSEI Symbol	Notlisted
ISIN	INE463V01026
Name of the company	Anand Rathi Wealth Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	14	20317031			20317031	48.82	20317031		20317031	48.82
(B)	Public	57925	21299196			21299196	51.18	21299196		21299196	51.18
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	57939	41616227			41616227	100	41616227		41616227	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				48.82	20317025	100			20317031
(B)	Public				51.18	11815842	55.48			21297196
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	32132867	77.21			41614227

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	12	11025908			11025908	26.49	11025908		11025908	26.49
(d)	Any Other (specify)	2	9291123			9291123	22.33	9291123		9291123	22.33
Sub-Total (A)(1)		14	20317031			20317031	48.82	20317031		20317031	48.82
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	20317031			20317031	48.82	20317031		20317031	48.82
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	10	4287189			4287189	10.3	4287189		4287189	10.3
(c)	Alternate Investment Funds	1	181845			181845	0.44	181845		181845	0.44
(e)	Foreign Portfolio Investors	2	430080			430080	1.03	430080		430080	1.03
(g)	Insurance Companies	1	142564			142564	0.34	142564		142564	0.34
Sub-Total (B)(1)		14	5041678			5041678	12.11	5041678		5041678	12.11
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	55433	5109279			5109279	12.28	5109279		5109279	12.28
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	56	5622853			5622853	13.51	5622853		5622853	13.51
(b)	NBFCs registered with RBI	1	56			56	0	56		56	0
(e)	Any Other (specify)	2421	5525330			5525330	13.28	5525330		5525330	13.28
Sub-Total (B)(3)		57911	16257518			16257518	39.07	16257518		16257518	39.07
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		57925	21299196			21299196	51.18	21299196		21299196	51.18
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		57939	41616227			41616227	100	41616227		41616227	100
Total (A+B+C)		57939	41616227			41616227	100	41616227		41616227	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				26.49	11025902	100			11025908
(d)				22.33	9291123	100			9291123
Sub-Total (A) (1)				48.82	20317025	100			20317031
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				48.82	20317025	100			20317031
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				10.3	3158022	73.66			4287189
(c)				0.44	181845	100			181845
(e)				1.03	94197	21.9			430080
(g)				0.34	0	0			142564
Sub-Total (B) (1)				12.11	3434064	68.11			5041678
( 3 )	Non-institutions								
(a(i))				12.28	1847605	36.16			5109279
(a(ii))				13.51	4259764	75.76			5622853
(b)				0	0	0			56
(e)				13.28	2274409	41.16			5523330
Sub-Total (B) (3)				39.07	8381778	51.56			16255518
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				51.18	11815842	55.48			21297196
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100	32132867	77.21			41614227
Total (A+B+C )				100	32132867	77.21			41614227

<b>Individuals/Hindu undivided Family</b>							
Scarial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Anand Rathi	Pradeep Kumar Gupta	Amit Rathi	Priti Pradeep Gupta	Pradeep Kumar Gupta HUF	Navratan Mal Gupta HUF	Pooja Maru
PAN (II)	AADPR6532R	ABRPG5512H	ADQPR7850L	ABBPG7443H	AAAHP7870H	AAAHN8964Q	AADPR6529G
No. of fully paid up equity shares held (IV)	4971390	1902012	1425000	1162500	750000	720000	60000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4971390	1902012	1425000	1162500	750000	720000	60000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.95	4.57	3.42	2.79	1.8	1.73	0.14
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg:X	4971390	1902012	1425000	1162500	750000	720000	60000
Class eg:y							
Total	4971390	1902012	1425000	1162500	750000	720000	60000
Total as a % of Total Voting rights	11.95	4.57	3.42	2.79	1.8	1.73	0.14
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	11.95	4.57	3.42	2.79	1.8	1.73	0.14
<b>Number of Locked in shares (XII)</b>							
No. (a)	4971390	1902012	1425000	1162500	750000	720000	60000
As a % of total Shares held (b)	100	100	100	100	100	100	100
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	4971390	1902012	1425000	1162500	750000	720000	60000
<b>Reason for not providing PAN</b>							

Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group



<b>Individuals/Hindu undivided Family</b>						
Scarial No.	8	9	10	11	12	
Name of the Shareholders (I)	Tara Mantri	Phool Kaur Mundra	Krishnav Pradeep Gupta	Suresh Rathi	Asha Kailash Biyani	<a href="#">Click here to go back</a>
PAN (II)	AFHPM4009F	ADEPM6209J	CVKPG6886R	AADPR6528H	AAJPB8034E	Total
No. of fully paid up equity shares held (IV)	12000	10500	7506	3000	2000	11025908
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12000	10500	7506	3000	2000	11025908
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.03	0.03	0.02	0.01	0	26.49
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg:X	12000	10500	7506	3000	2000	11025908
Class eg:y						
Total	12000	10500	7506	3000	2000	11025908
Total as a % of Total Voting rights	0.03	0.03	0.02	0.01	0	26.49
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.03	0.03	0.02	0.01	0	26.49
<b>Number of Locked in shares (XII)</b>						
No. (a)	12000	10500	7500	3000	2000	11025902
As a % of total Shares held (b)	100	100	99.92	100	100	100
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	12000	10500	7506	3000	2000	11025908
Reason for not providing PAN						

Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

<b>Any Other (specify)</b>			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Anand Rathi Financial Services Limited	Jaipur Securities Private Limited	
PAN (II)	AABCA3577G	AAACJ1456E	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	8661123	630000	9291123
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8661123	630000	9291123
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.81	1.51	22.33
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	8661123	630000	9291123
Class eg:y			
Total	8661123	630000	9291123
Total as a % of Total Voting rights	20.81	1.51	22.33
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	20.81	1.51	22.33
<b>Number of Locked in shares (XII)</b>			
No. (a)	8661123	630000	9291123
As a % of total Shares held (b)	100	100	100
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity	8661123	630000	9291123

shares held in dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

Mutual Funds						
Scarial No.	1	2	3	4	5	
Name of the Shareholders (I)	Dsp Small Cap Fund	Sbi Small Cap Fund	Icici Prudential Banking And Financial Services Fund	Franklin India Smaller Companies Fund	Invesco India Tax Plan	Click here to go back
PAN (II)	AAAJD0430B	AABTS6407Q	AAAAI0038F	AAATT4931H	AAATL5351M	Total
No. of fully paid up equity shares held (IV)	823644	698621	609083	545481	434309	3111138
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	823644	698621	609083	545481	434309	3111138
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.98	1.68	1.46	1.31	1.04	7.48
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	823644	698621	609083	545481	434309	3111138
Class eg:y						
Total	823644	698621	609083	545481	434309	3111138
Total as a % of Total Voting rights	1.98	1.68	1.46	1.31	1.04	7.48
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.98	1.68	1.46	1.31	1.04	7.48
Number of Locked in shares (XII)						
No. (a)	463644	545481	545481	545481	300024	2400111
As a % of total Shares held (b)	56.29	78.08	89.56	100	69.08	77.15
Number of equity shares held in dematerialized form (XIV)	823644	698621	609083	545481	434309	3111138
Reason for not providing PAN						
Reason for not providing PAN						

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Serial No.	1	2	
Name of the Shareholders (I)	Feroze Azeez	Supriya Rathi	<a href="#">Click here to go back</a>
PAN (II)	AHLPA4186B	ACQPR2435Q	Total
No. of fully paid up equity shares held (IV)	729210	1125000	1854210
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	729210	1125000	1854210
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.75	2.7	4.46
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	729210	1125000	1854210
Class eg:y			
Total	729210	1125000	1854210
Total as a % of Total Voting rights	1.75	2.7	4.46
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	1.75	2.7	4.46
<b>Number of Locked in shares (XII)</b>			
No. (a)	183150	1125000	1308150
As a % of total Shares held (b)	25.12	100	70.55
Number of equity shares held in dematerialized form (XIV)	729210	1125000	1854210
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

Any Other (specify)							
Scarial No.	1	2	3	4	5	6	7
Category	Trusts	Trusts	Trusts	Trusts	HUF	LLP	Clearing Members
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)		Rawal Family Trust acting through Rakesh Rawal	Azeez Family Trust acting through Feroze Azeez	Suhas Gupta Family Trust acting through Preeti Rawal			
PAN (II)		AACTR3371B	AAETA8727E	AAOTS7776H			
No. of the Shareholders (I)	5	1	1	1	1837	12	118
No. of fully paid up equity shares held (IV)	1471472	512100	461225	455750	170913	60943	210427
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1471472	512100	461225	455750	170913	60943	210427
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.54	1.23	1.11	1.1	0.41	0.15	0.51
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1471472	512100	461225	455750	170913	60943	210427
Class eg:y							
Total	1471472	512100	461225	455750	170913	60943	210427
Total as a % of Total Voting rights	3.54	1.23	1.11	1.1	0.41	0.15	0.51
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.54	1.23	1.11	1.1	0.41	0.15	0.51
Number of Locked in shares (XII)							
No. (a)	1471075	512100	461225	455750	24083	17273	0
As a % of total Shares held (b)	99.97	100	100	100	14.09	28.34	0
Number of equity	42397	512100	461225	455750	170913	60943	210427

shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							



Any Other (specify)					
Scarial No.	8	9	10	11	
Category	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	Others	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)		Echjay Industries Private Limited		Non Resident Indians (Non Repat)	<a href="#">Click here to go back</a>
PAN (II)		AAACE1157B			Total
No. of the Shareholders (I)	149	1	124	176	2421
No. of fully paid up equity shares held (IV)	3365245	737919	223235	23095	5525330
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3365245	737919	223235	23095	5525330
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.09	1.77	0.54	0.06	13.3
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	3365245	737919	223235	23095	5525330
Class eg:y					
Total	3365245	737919	223235	23095	5525330
Total as a % of Total Voting rights	8.09	1.77	0.54	0.06	13.3
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.09	1.77	0.54	0.06	13.3
Number of Locked in shares (XII)					
No. (a)	557878	105000	204100	0	2274409
As a % of total Shares held (b)	16.58	14.23	91.43	0	41.16
Number of equity shares held in dematerialized form (XIV)	3365245	737919	223235	23095	4096255

Reason for not providing PAN					
Reason for not providing PAN					