

General information about company	
Scrip code	543415
NSE Symbol	ANANDRATHI
MSEI Symbol	NotListed
ISIN	INE463V01026
Name of the company	Anand Rathi Wealth Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	49	2.13
As on the end of previous 1st quarter	49	2.38
As on the end of previous 2nd quarter	49	1.94
As on the end of previous 3rd quarter	49	0.98

(C2)	Shares held by Employee Trusts												
	Total			100		8323300	19.94	100	0	41740266	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)					
								No of Voting (XIV) Rights			Total as a % of Total Voting rights		
								Class eg: X	Class eg:y	Total			
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(a)	Individuals/Hindu undivided Family	13	11030508			11030508	26.43	11030508		11030508	26.43		
(d)	Any Other (specify)	4	9291123			9291123	22.26	9291123		9291123	22.26		
Sub-Total (A)(1)		17	20321631			20321631	48.69	20321631		20321631	48.69		
(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		17	20321631			20321631	48.69	20321631		20321631	48.69		
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(a)	Mutual Funds	10	4225711			4225711	10.12	4225711		4225711	10.12		
(c)	Alternate Investment Funds	2	58107			58107	0.14	58107		58107	0.14		
(i)	NBFCs registered with RBI	1	56			56	0	56		56	0		
Sub-Total (B)(1)		13	4283874			4283874	10.26	4283874		4283874	10.26		
(2)	Institutions (Foreign)												
(d)	Foreign Portfolio Investors Category I	61	686732			686732	1.65	686732		686732	1.65		
(e)	Foreign Portfolio Investors Category II	7	80202			80202	0.19	80202		80202	0.19		
Sub-Total (B)(2)		68	766934			766934	1.84	766934		766934	1.84		
(3)	Central Government / State Government(s)												
(4)	Non-institutions												

(b)	Directors and their relatives (excluding independent directors and nominee directors)	3	1140000			1140000	2.73		1140000		1140000	2.73
(c)	Key Managerial Personnel	2	9405			9405	0.02		9405		9405	0.02
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	35148	6207126			6207126	14.87		6207126		6207126	14.87
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	56	4463408			4463408	10.69		4463408		4463408	10.69
(i)	Non Resident Indians (NRIs)	506	429442			429442	1.03		429442		429442	1.03
(l)	Bodies Corporate	207	1981064			1981064	4.75		1981064		1981064	4.75
(m)	Any Other (specify)	1121	2137383			2137383	5.12		2137383		2137383	5.12
Sub-Total (B)(4)		37043	16367828			16367828	39.21		16367828		16367828	39.21
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		37124	21418636			21418636	51.31		21418636		21418636	51.31
C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder												
Total (A+B+C2)		37141	41740267			41740267	100		41740267		41740267	100
Total (A+B+C)		37141	41740267			41740267	100		41740267		41740267	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian											
(a)				26.43	2080825	18.86	100	0	11030508			
(d)				22.26	6242475	67.19	0	0	9291123			
Sub-Total (A)(1)				48.69	8323300	40.96	100	0	20321631			

(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				48.69	8323300	40.96	100	0		20321631			
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(a)				10.12						4225711	0	0	0
(c)				0.14						58107	0	0	0
(i)				0						56	0	0	0
Sub-Total (B)(1)				10.26						4283874	0	0	0
(2)	Institutions (Foreign)												
(d)				1.65						686732	0	0	0
(e)				0.19						80202	0	0	0
Sub-Total (B)(2)				1.84						766934	0	0	0
(3)	Central Government / State Government(s)												
(4)	Non-institutions												
(b)				2.73						1140000	0	0	0
(c)				0.02						9405	0	0	0
(g)				14.87						6207125	0	0	0
(h)				10.69						4463408	0	0	0
(i)				1.03						429442	0	0	0
(l)				4.75						1981064	0	0	0
(m)				5.12						2137383	0	0	0
Sub-Total (B)(4)				39.21						16367827	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				51.31						21418635	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder												
Total (A+B+C2)				100	8323300	19.94				41740266			
Total (A+B+C)				100	8323300	19.94	100	0		41740266			

Individuals/Hindu undivided Family

Searial No.	1	2	3	4	5	6	7
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Name of the Shareholders (I)	Anand Rathi	Pradeep Kumar Gupta	Priti Pradeep Gupta	Pradeep Kumar Gupta HUF	Navratan Mal Gupta HUF	Pooja Maru	Tara Mantri
PAN (II)	AADPR6532R	ABRPG5512H	ABBPG7443H	AAAHP7870H	AAAHN8964Q	AADPR6529G	AFHPM4009F
No. of fully paid up equity shares held (IV)	6396390	1902012	1162500	750000	720000	60000	12100
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	6396390	1902012	1162500	750000	720000	60000	12100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.32	4.56	2.79	1.8	1.72	0.14	0.03
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	6396390	1902012	1162500	750000	720000	60000	12100
Class eg:y							
Total	6396390	1902012	1162500	750000	720000	60000	12100
Total as a % of Total Voting rights	15.32	4.56	2.79	1.8	1.72	0.14	0.03
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.32	4.56	2.79	1.8	1.72	0.14	0.03
Number of Locked in shares (XII)							
No. (a)	1248495	832330	0	0	0	0	0
As a % of total Shares held (b)	19.52	43.76	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	0	0	0	0	0	0	100
As a % of total Shares held (b)	0	0	0	0	0	0	0.83
Number of equity shares held in dematerialized form (XIV)	6396390	1902012	1162500	750000	720000	60000	12100
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family

Searial No.	8	9	10	11	12	14
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Name of the Shareholders (I)	Anand Rathi Financial Services Limited	M/S Jaipur Securities Pvt. Ltd.	Anandrathi Housing Finance Limited	Anand Rathi IT Private Limited	
PAN (II)	AABCA3577G	AAACJ1456E	AAQCA4450M	AAJCA3179J	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	8331123	630000	180000	150000	9291123
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	8331123	630000	180000	150000	9291123
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.96	1.51	0.43	0.36	22.26
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	8331123	630000	180000	150000	9291123
Class eg:y					
Total	8331123	630000	180000	150000	9291123
Total as a % of Total Voting rights	19.96	1.51	0.43	0.36	22.26
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	19.96	1.51	0.43	0.36	22.26
Number of Locked in shares (XII)					
No. (a)	6242475	0	0	0	6242475
As a % of total Shares held (b)	74.93	0	0	0	67.19
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	8331123	630000	180000	150000	9291123
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	
Mutual Funds					
Serial No.	1	2	3	4	

Name of the Shareholders (I)	Quant Mutual Fund - Quant Small Cap Fund	Dsp Small Cap Fund	Invesco India Flexi Cap Fund	Sbi Small Cap Fund	Click here to go back
PAN (II)	AAATE0120D	AAAJD0430B	AAATL5351M	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	1129197	810078	707327	555578	3202180
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1129197	810078	707327	555578	3202180
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.71	1.94	1.69	1.33	7.67
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	1129197	810078	707327	555578	3202180
Class eg:y					
Total	1129197	810078	707327	555578	3202180
Total as a % of Total Voting rights	2.71	1.94	1.69	1.33	7.67
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.71	1.94	1.69	1.33	7.67
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	1129197	810078	707327	555578	3202180
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Bodies Corporate

Serial No.	1	2	
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Name of the Shareholders (I)	Echjay Industries Private Limited	Munix India Private Limited	Click here to go back
PAN (II)	AAACE1157B	AADCM4750F	Total
No. of fully paid up equity shares held (IV)	815421	490937	1306358
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	815421	490937	1306358
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.95	1.18	3.13
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	815421	490937	1306358
Class eg:y			
Total	815421	490937	1306358
Total as a % of Total Voting rights	1.95	1.18	3.13
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.95	1.18	3.13
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	815421	490937	1306358
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Directors and their relatives (excluding independent directors and nominee directors)

Searial No.	1	
Name of the Shareholders (I)	Supriya Rathi	Click here to go back

PAN (II)	ACQPR2435Q	Total
No. of fully paid up equity shares held (IV)	1125000	1125000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1125000	1125000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.7	2.7
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1125000	1125000
Class eg:y		
Total	1125000	1125000
Total as a % of Total Voting rights	2.7	2.7
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.7	2.7
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1125000	1125000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0
Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Searial No.	1	
Name of the Shareholders (I)	Feroze Azeez	Click here to go back
PAN (II)	AHLPA4186B	Total
No. of fully paid up equity shares held (IV)	833250	833250

No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	833250	833250
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2	2
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	833250	833250
Class eg:y		
Total	833250	833250
Total as a % of Total Voting rights	2	2
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2	2
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	833250	833250
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Trusts	Trusts	Trusts	Trusts	LLP	HUF	Clearing Members
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)		Feroze Azeez - Azeez Family Trust	Rakesh Rawal - Rawal Family Trust	Preeti Rawal - Suhas Gupta Family Trust			
PAN (II)		AAETA8727E	AACTR3371B	AAOTS7776H			

No. of the Shareholders (I)	3	1	1	1	14	1098	4
No. of fully paid up equity shares held (IV)	1940770	974920	510100	455750	15128	176884	1601
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1940770	974920	510100	455750	15128	176884	1601
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.65	2.34	1.22	1.09	0.04	0.42	0
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1940770	974920	510100	455750	15128	176884	1601
Class eg:y							
Total	1940770	974920	510100	455750	15128	176884	1601
Total as a % of Total Voting rights	4.65	2.34	1.22	1.09	0.04	0.42	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.65	2.34	1.22	1.09	0.04	0.42	0
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1940770	974920	510100	455750	15128	176884	1601
Reason for not providing PAN							
Reason for not providing PAN							
Sub-categorization of shares							
Sub-category (i)	0	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0	0

Any Other (specify)		
Searial No.	8	
Category	Director or Director's Relatives	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back

PAN (II)		Total
No. of the Shareholders (I)	2	1121
No. of fully paid up equity shares held (IV)	3000	2137383
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3000	2137383
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	5.12
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3000	2137383
Class eg:y		
Total	3000	2137383
Total as a % of Total Voting rights	0.01	5.12
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	5.12
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	3000	2137383
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0