

Ref No: 12/2023-24

Dated: April 18, 2023

BSE Limited
Department of Corporate Services
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai – 400 001
Scrip Code: **543415**
Scrip ID: **ANANDRATHI**

National Stock Exchange of India Limited
Listing Department
Exchange Plaza, C-1, Block G, Bandra Kurla
Complex, Bandra (East), Mumbai - 400 051
Trading Symbol: **ANANDRATHI**

Sub: Certificate – Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for year ended 31st March, 2023.

Dear Sir/Madam,

In terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate from a Practicing Company Secretary for the year ended 31st March, 2023.

We request you to kindly take the above on record.

Thanking You,

Yours faithfully,
For **Anand Rathi Wealth Limited**



Nitesh Tanwar
Company Secretary and Compliance Officer
Encl: Certificate issued by Practicing Company Secretary



Shruti Somani
Practicing Company Secretary

Harsh Villa, Plot No 157,
RSC Road No.50, Gorai 2.
Borivali (West), Mumbai-400 092
Phone No.: 022 40163976
Email ID: shrutisomani91@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

On the basis of examination of the relevant documents relating to **Anand Rathi Wealth Limited** ("the Company") maintained by **Link Intime India Private Limited** (hereinafter referred to as "the Registrar & Share Transfer Agents" or "the RTA") pertaining to transfer of equity shares of the company for the period from **1st April 2022** to **31st March 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015, based on the information provided by the RTA, we hereby certify that during the financial year ended on **31st March, 2023**:

- The Company and/or RTA has not received any request relating to the shares held in physical mode viz. Transfer, Sub -Division, Consolidation, renewal, exchange or endorsement of calls/allotment monies as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015

For Shruti Somani
Practicing Company Secretaries

Shruti Somani
C.P No. 22487
ACS No. 49773



UDIN: A049773E000101385

Date: 14/04/2023
Place: Mumbai