

<b>RECONCILIATION OF SHARE CAPITAL AUDIT</b>			
Scrip code*	543415		
NSE Symbol	ANANDRATHI		
MSEI Symbol	NA		
ISIN	INE463V01026		
Whether company has CIN	Yes		
CIN Number	L67120MH1995PLCo86696		
Name of the company*	Anand Rathi Wealth Limited		
<b>Registered office address</b>			
Registered office address*	Express Zone, A Wing, 10th Floor, Western Express Highway, Goregaon (E), Mumbai -400063		
Registered office state*	Maharashtra		
Registered office city*	Mumbai		
Registered office district*	Mumbai		
Registered office pin code*	400063		
Registered office contact number*	ISD Code*	STD Code*	Number*
	+91	022	62817000
Registered office fax			
Registered office country*	INDIA		
Registered office website			
Registered office email	csarwsl@rathi.com		
<b>Correspondence address</b>			
Same as above	Yes		
Correspondence address	Express Zone, A Wing, 10th Floor, Western Express Highway, Goregaon (E), Mumbai -400063		
Correspondence state	Maharashtra		
Correspondence city	Mumbai		
Correspondence district	Mumbai		
Correspondence pin code	400063		
Correspondence contact number	ISD Code	STD Code	Number
	+91	022	62817000
Correspondence fax			
Correspondence country	INDIA		
Correspondence email	csarwsl@rathi.com		
Reporting quarter*	30-09-2022		
Face value*	5		

<b>Stock Exchange Details :</b>	<b>Name of stock Exchange</b>	<b>Listed Capital</b>	<b>% Of total issued capital</b>
Name of other stock exchanges where the company's securities are listed	BSE Ltd	41688247	100
	National Stock Exchange of India Ltd(NSE)	41688247	100
Remarks			

<b>Capital Details :</b>		
	Number of shares	% Of total issued capital
Issued capital*	41688247	
Listed capital (BSE) (As per company records)*	41688247	100
Held in dematerialised form in CDSL*	32817209	78.72
Held in dematerialised form in NSDL*	8871037	21.28
Physical*	1	0
Total no.of shares*	41688247	100
Reasons for difference if any, Between issued capital and listed capital*	0	
Reasons for difference if any, Between issued capital and total number of shares*	0	

Reasons for difference if any, Between listed capital and total number of shares\* 0

**Certifying the details of changes in share capital during the quarter under consideration as per Table below :**

Whether changes during the quarter\* Yes

**Certifying the details of changes in share capital during the quarter under consideration as per Table below :**

Serial No.	Particulars	No.of shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	whether intimated to CDSL	whether intimated to NSDL	In-prin appr. pending for SE (Specify Names)	Remarks
1	ESOPs	72020	Applied	BSE Ltd	Yes	Yes	No	
2	ESOPs	72020	Applied	National Stock Exchange of India Ltd(NSE)	Yes	Yes	No	

Register of members is updated*	Yes
If not, Updated upto which date	
Reference of previous quarter with regards to excess dematerialised shares,If any.	
Has the company resolved the matter (excess dematerialised shares mentioned above) in the current quarter ?*	NA
If not, Reason why ?	

**Mentioned the total no.of requests, If any, confirmed after 21 days and the total no.of requests pending beyond 21 days with the reasons for delay**

Total no.of demat requests	No.of requests*	No.of shares*	Reasons for delay
Confirmed after 21 days*	0	0	
Pending for more than 21 days*	0	0	
Remarks			

**Compliance Officer Details**

Whether Compliance officer appointed	Yes
Whether Qualified Company Secretary is Compliance Officer *	Yes
Name of the compliance officer*	Jinal Trivedi
PAN of the compliance officer*	AOCPD5828B
Date of Appointment	31-05-2022
Designation*	Compliance Officer
Membership Nos	ACS-58546
Telephone no.*	022-62817000
Fax no.	-
E-mail id*	csarwsl@rathi.com
Whether any change in Compliance Officer during the previous 2 quarters*	Yes
Whether the previous Compliance Officer was Qualified Company Secretary *	Yes

**Whether the previous Compliance Officer was Qualified Company Secretary \***

Previous Compliance Officer Name	Ashish Chauhan
PAN of the previous compliance officer*	AHRPC9191Q
Membership Nos	FCS 11214
Date of Appointment	02-12-2019
Date of Cessation	30-05-2022

**Date of Appointment**

CA/CS*	Company secretary
Name of certifying auditor*	Himanshu S Kamdar
Date of issue of report*	15-10-2022
Address*	A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12 Senapati Bapat Marg, Lower Parel (West)
City*	Mumbai
Pincode*	400013
Contact no.*	022-40764444

Fax no.	-
Email	associates.rathi8@gmail.com
Membership no.*	05171
Firms registration number of audit firm	
Name of the firm*	Rathi & Associates
COP number*	3030

<b>Name of the firm*</b>	
Appointment of common agency for share registry work*	Yes
Whether Registered with SEBI	
Name of RTA	Linkin Time India Private Limited
SEBI registration no.	INR000004058
Address	C-101, 247 Park, L.B.S. Marg, Surya Nagar, Gandhi Nagar, Vikhroli West, Mumbai- 400083
State	Maharashtra
City	Mumbai
Pincode	400083
Contact no.	022-49186000
Fax number of RTA	-
E-mail id	mumbai@linkintime.co.in
Website Address	<a href="https://linkintime.co.in/">https://linkintime.co.in/</a>
Whether any change in Registrar and Share Transfer Agents *	No
Previous Registrar and Share Transfer Agents Name	
Date of Cessation	
Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE)	