

General information about company	
Scrip code	543415
NSE Symbol	ANANDRATHI
MSEI Symbol	Notlisted
ISIN	INE463V01026
Name of the company	Anand Rathi Wealth Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	14	20317031			20317031	48.82	20317031		20317031	48.82
(B)	Public	45423	21299196			21299196	51.18	21299196		21299196	51.18
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	45437	41616227			41616227	100	41616227		41616227	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				48.82	20317025	100			20317031
(B)	Public				51.18	8290842	38.93			21299195
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	28607867	68.74			41616226

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	12	11025908			11025908	26.49	11025908		11025908	26.49
(d)	Any Other (specify)	2	9291123			9291123	22.33	9291123		9291123	22.33
Sub-Total (A)(1)		14	20317031			20317031	48.82	20317031		20317031	48.82
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	20317031			20317031	48.82	20317031		20317031	48.82
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	9	4169679			4169679	10.02	4169679		4169679	10.02
(e)	Foreign Portfolio Investors	4	424516			424516	1.02	424516		424516	1.02
Sub-Total (B)(1)		13	4594195			4594195	11.04	4594195		4594195	11.04
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	43264	5032256			5032256	12.09	5032256		5032256	12.09
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	58	5667015			5667015	13.62	5667015		5667015	13.62
(b)	NBFCs registered with RBI	1	56			56	0	56		56	0
(e)	Any Other (specify)	2087	6005674			6005674	14.43	6005674		6005674	14.43
Sub-Total (B)(3)		45410	16705001			16705001	40.14	16705001		16705001	40.14
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		45423	21299196			21299196	51.18	21299196		21299196	51.18
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		45437	41616227			41616227	100	41616227		41616227	100
Total (A+B+C)		45437	41616227			41616227	100	41616227		41616227	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				26.49	11025902	100			11025908
(d)				22.33	9291123	100			9291123
Sub-Total (A) (1)				48.82	20317025	100			20317031
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				48.82	20317025	100			20317031
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				10.02	0	0			4169679
(e)				1.02	0	0			424516
Sub-Total (B) (1)				11.04	0	0			4594195
(3)	Non-institutions								
(a(i))				12.09	1788330	35.54			5032255
(a(ii))				13.62	4319039	76.21			5667015
(b)				0	0	0			56
(e)				14.43	2183473	36.36			6005674
Sub-Total (B) (3)				40.14	8290842	49.63			16705000
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				51.18	8290842	38.93			21299195
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	28607867	68.74			41616226
Total (A+B+C)				100	28607867	68.74			41616226

Individuals/Hindu undivided Family							
Scarial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Anand Rathi	Pradeep Kumar Gupta	Amit Rathi	Priti Pradeep Gupta	Pradeep Kumar Gupta HUF	Navratan Mal Gupta HUF	Pooja Maru
PAN (II)	AADPR6532R	ABRPG5512H	ADQPR7850L	ABBPG7443H	AAAHP7870H	AAAHN8964Q	AADPR6529G
No. of fully paid up equity shares held (IV)	4971390	1902012	1425000	1162500	750000	720000	60000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4971390	1902012	1425000	1162500	750000	720000	60000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.95	4.57	3.42	2.79	1.8	1.73	0.14
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	4971390	1902012	1425000	1162500	750000	720000	60000
Class eg:y							
Total	4971390	1902012	1425000	1162500	750000	720000	60000
Total as a % of Total Voting rights	11.95	4.57	3.42	2.79	1.8	1.73	0.14
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	11.95	4.57	3.42	2.79	1.8	1.73	0.14
Number of Locked in shares (XII)							
No. (a)	4971390	1902012	1425000	1162500	750000	720000	60000
As a % of total Shares held (b)	100	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	4971390	1902012	1425000	1162500	750000	720000	60000
Reason for not providing PAN							

Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family						
Scarial No.	8	9	10	11	12	
Name of the Shareholders (I)	Tara Mantri	Phool Kaur Mundra	Krishnav Pradeep Gupta	Suresh Rathi	Asha Kailash Biyani	Click here to go back
PAN (II)	AFHPM4009F	ADEPM6209J	CVKPG6886R	AADPR6528H	AAJPB8034E	Total
No. of fully paid up equity shares held (IV)	12000	10500	7506	3000	2000	11025908
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12000	10500	7506	3000	2000	11025908
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.03	0.03	0.02	0.01	0	26.49
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	12000	10500	7506	3000	2000	11025908
Class eg:y						
Total	12000	10500	7506	3000	2000	11025908
Total as a % of Total Voting rights	0.03	0.03	0.02	0.01	0	26.49
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.03	0.03	0.02	0.01	0	26.49
Number of Locked in shares (XII)						
No. (a)	12000	10500	7500	3000	2000	11025902
As a % of total Shares held (b)	100	100	99.92	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	12000	10500	7506	3000	2000	11025908
Reason for not providing PAN						

Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Anand Rathi Financial Services Limited	Jaipur Securities Private Limited	
PAN (II)	AABCA3577G	AAACJ1456E	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	8661123	630000	9291123
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8661123	630000	9291123
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.81	1.51	22.33
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	8661123	630000	9291123
Class eg: y			
Total	8661123	630000	9291123
Total as a % of Total Voting rights	20.81	1.51	22.33
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	20.81	1.51	22.33
Number of Locked in shares (XII)			
No. (a)	8661123	630000	9291123
As a % of total Shares held (b)	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity	8661123	630000	9291123

shares held in dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

Mutual Funds						
Scarial No.	1	2	3	4	5	
Name of the Shareholders (I)	Franklin India Smaller Companies Fund	Dsp Small Cap Fund	Sbi Small Cap Fund	Invesco India Equity Savings Fund	Icici Prudential Banking And Financial Services Fund	Click here to go back
PAN (II)	AAATT4931H	AAAJD0430B	AABTS6407Q	AAATL5351M	AAAAI0038F	Total
No. of fully paid up equity shares held (IV)	845481	823644	698621	536680	511077	3415503
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	845481	823644	698621	536680	511077	3415503
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.03	1.98	1.68	1.29	1.23	8.21
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	845481	823644	698621	536680	511077	3415503
Class eg:y						
Total	845481	823644	698621	536680	511077	3415503
Total as a % of Total Voting rights	2.03	1.98	1.68	1.29	1.23	8.21
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.03	1.98	1.68	1.29	1.23	8.21
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	845481	823644	698621	536680	511077	3415503
Reason for not providing PAN						
Reason for not providing PAN						

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Serial No.	1	2	
Name of the Shareholders (I)	Feroze Azeez	Supriya Rathi	Click here to go back
PAN (II)	AHLPA4186B	ACQPR2435Q	Total
No. of fully paid up equity shares held (IV)	729210	1125000	1854210
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	729210	1125000	1854210
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.75	2.7	4.46
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	729210	1125000	1854210
Class eg:y			
Total	729210	1125000	1854210
Total as a % of Total Voting rights	1.75	2.7	4.46
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.75	2.7	4.46
Number of Locked in shares (XII)			
No. (a)	183150	1125000	1308150
As a % of total Shares held (b)	25.12	100	70.55
Number of equity shares held in dematerialized form (XIV)	729210	1125000	1854210
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Trusts	Trusts	Trusts	HUF	LLP	Clearing Members
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)		Rawal Family Trust acting through Rakesh Rawal	Azeez Family Trust acting through Feroze Azeez	Suhas Gupta Family Trust acting through Preeti Rawal			
PAN (II)		AACTR3371B	AAETA8727E	AAOTS7776H			
No. of the Shareholders (I)	4	1	1	1	1528	15	68
No. of fully paid up equity shares held (IV)	1471452	512100	461225	455750	122579	105416	140718
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1471452	512100	461225	455750	122579	105416	140718
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.54	1.23	1.11	1.1	0.29	0.25	0.34
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1471452	512100	461225	455750	122579	105416	140718
Class eg:y							
Total	1471452	512100	461225	455750	122579	105416	140718
Total as a % of Total Voting rights	3.54	1.23	1.11	1.1	0.29	0.25	0.34
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.54	1.23	1.11	1.1	0.29	0.25	0.34
Number of Locked in shares (XII)							
No. (a)	1471075	512100	461225	455750	24083	17273	0
As a % of total Shares held (b)	99.97	100	100	100	19.65	16.39	0
Number of equity	1471452	512100	461225	455750	122579	105416	140718

shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Scarial No.	8	9	10	11	12	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	Others	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)		Echjay Industries Private Limited	West & Best Trading Private Limited		Non Resident Indians (Repat)	Click here to go back
PAN (II)		AAACE1157B	AABCW5735C			Total
No. of the Shareholders (I)	158	1	1	135	179	2087
No. of fully paid up equity shares held (IV)	3887216	669125	433561	236768	41525	6005674
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3887216	669125	433561	236768	41525	6005674
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.34	1.61	1.04	0.57	0.1	14.43
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	3887216	669125	433561	236768	41525	6005674
Class eg:y						
Total	3887216	669125	433561	236768	41525	6005674
Total as a % of Total Voting rights	9.34	1.61	1.04	0.57	0.1	14.43
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.34	1.61	1.04	0.57	0.1	14.43
Number of Locked in shares (XII)						
No. (a)	466942	105000	0	204100	0	2183473
As a % of total Shares held (b)	12.01	15.69	0	86.2	0	36.36
Number of equity shares held in dematerialized form (XIV)	3887216	669125	433561	236768	41525	6005674

Reason for not providing PAN						
Reason for not providing PAN						