

Ref No: 05/2024-25

Dated: April 05, 2024

The Manager,  
Listing Department,  
The National Stock Exchange of India Ltd.,  
Exchange Plaza, 5<sup>th</sup> Floor, Plot C/1, G  
Block, Bandra - Kurla Complex, Bandra (E),  
Mumbai - 400 051. Tel No.: 2659 8235  
Fax No.: 26598237/ 26598238  
Trading Symbol: ANANDRATHI

The Manager,  
Listing Department,  
BSE Limited,  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Mumbai - 400 001.  
Tel no.: 22721233  
Fax No.: 22723719/ 22723121/ 22722037  
Scrip Code: 543415

Sub: Certificate – Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for year ended 31<sup>st</sup> March, 2024.

Dear Sir/Madam,

In terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate from a Practicing Company Secretary for the year ended 31<sup>st</sup> March, 2024.

We request you to kindly take the above on record.

Thanking You,

Yours faithfully,  
For **Anand Rathi Wealth Limited**

**Rajesh Bhutara**  
Chief Financial Officer



Encl: Certificate issued by Practicing Company Secretary

# Rathi & Associates

## COMPANY SECRETARIES

A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.  
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

### COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

#### FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2024

We have examined the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Anand Rathi Wealth Limited** ("the Company") maintained by **Link Intime India Private Limited** (hereinafter referred to as "the Registrar & Share Transfer Agents" or "the RTA") pertaining to transfer of Equity Shares of the Company for the period from **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, **Anand Rathi Wealth Limited** with **BSE Limited** and **The National Stock Exchange of India Limited** and based on the information provided by the RTA, we hereby certify that during the financial year ended on **31<sup>st</sup> March, 2024**, the Company and/or RTA has not received any request relating to the shares held in physical mode viz. Transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.



For **RATHI & ASSOCIATES**  
**COMPANY SECRETARIES**

*Neha R Lahoty*

**NEHA R LAHOTY**  
**PARTNER**  
**FCS NO. 8568**  
**COP NO. 10286**

**Date: April 05, 2024**  
**Place: Mumbai**  
**UDIN: F008568F000039051**  
**Peer Review Cer. No.: 668/2020**