

Ref No: 42/2023-24

Dated: July 20, 2023

The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5<sup>th</sup> Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 Trading Symbol: ANANDRATHI The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai - 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 Scrip Code: 543415

## Subject: <u>Certificate under Regulation 74(5) of Securities and Exchange Board of India</u> (Depositories and Participants) Regulations, 2018

Dear Sir/Madam,

Please find enclosed certificate received from Link Intime India Pvt. Ltd., Registrar and Share Transfer Agent of the Company, for the first quarter ended June 30, 2023. This report is submitted in compliance with Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

We request you to kindly take the above on record.

Thanking You,

Yours faithfully, For **Anand Rathi Wealth Limited** 



Nitesh Tanwar Company Secretary and Compliance Officer M. No. FCS-10181

ANAND RATHI WEALTH LIMITED (Formerly known as 'Anand Rathi Wealth Services Limited) Private Wealth. uncomplicated AMFI-Registered Mutual Fund Distributor CIN No.: L67120MH1995PLC086696

Registered Office : Floor No. 10, A Wing, Express Zone, Western Express Highway, Goregaon (E), Mumbai - 400 063 Board Line No.: 022 6281 7000 | Website: anandrathiwealth.in

Corporate Office : Floor No. 2 & 3, Block B & C, E Wing, Trade Link, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 | Board Line No.: 022 69815400/69815401 | Website: anandrathiwealth.in

## **LINK**Intime

Link Intime India Pvt. Ltd. CIN: U67190MH1999PTC118368 C-101, 247 Park, L. B. S. Marg, WKNOI (West), Mumbai - 400 083. Tel.: +91 22 4918 6060 Fax: +91 22 4918 6060 E-mail : mumbai@linkintime.co.in Website : www.linkintime.co.in

To, Company Secretary Anand Rathi Wealth Limited 10th floor, A wing, Express Zone Western Express Highway Goregaon (East), Mumbai 40006

Date: 07.07.2023

Dear Sir,

## <u>Subject: Confirmation Certificate under Regulation 74(5) of Securities and</u> <u>Exchange Board of India (Depositories and Participants) Regulations, 2018.</u>

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th June 2023, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Note: Please note there were no Demat & Remat Request has been received for the quarter.

Thanking You,

Yours faithfully, For Link Intime India Pvt. Ltd

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Suman Shetty Asst. Vice President – Client Relations