

General information about company

Scrip code	543415
NSE Symbol	ANANDRATHI
MSEI Symbol	NA
ISIN	INE463V01026
Name of the entity	Anand Rathi Wealth Limited
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year Top 500 listed entities	

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Anand Nanadkishore Rathi	AADPR6532R	00112853	Non-Executive - Non Independent Director	Chairperson related to Promoter		25-06-1946
2	Mr	Pradeep Kumar Gupta	ABRPG5512H	00040117	Non-Executive - Non Independent Director	Not Applicable		20-07-1967
3	Mr	Rakesh Rawal	AAEPR9801J	02839168	Executive Director	Not Applicable	CEO	07-01-1956
4	Mr	Kishan Gopal Somani	AAOPS3830L	00014648	Non-Executive - Independent Director	Not Applicable		11-07-1939
5	Mr	Ramesh Chandak	ACVPC9915H	00026581	Non-Executive - Independent Director	Not Applicable		07-11-1946
6	Mr	Mohan Tanksale	AALPT7284N	02971181	Non-Executive - Independent Director	Not Applicable		31-07-1953

7 Mrs Sudha Navandar AAAPN9936C 02804964 Non-Executive - Independent Director Not Applicable 02-11-1966

I. Composition of Board of Directors

Disqualification of Directors under section 164 of the Companies Act, 2013

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Yes	15-07-2021	18-03-2005				2	1	3	1		
2	NA		18-03-2005				1	0	2	0		
3	NA		01-03-2017	01-04-2023			1	0	0	0		
4	Yes	28-09-2020	15-03-2018	15-03-2021		69.15	2	2	2	0		
5	Yes	15-07-2021	15-03-2018	15-03-2021		69.15	6	6	8	3		
6	NA		06-02-2018	06-02-2021		70.24	4	4	8	5		
7	NA		15-03-2018	15-03-2021		69.15	5	5	8	3		

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02971181	Mohan Tanksale	Non-Executive - Independent Director	Chairperson	15-03-2018		
2	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Member	12-10-2021		
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	15-03-2018		
4	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	12-10-2021		
5	00014648	Kishan Gopal Somani	Non-Executive - Independent Director	Member	12-10-2021		

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00014648	Kishan Gopal Somani	Non-Executive - Independent Director	Chairperson	15-03-2018		
2	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Member	15-03-2018		
3	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	15-03-2018		
4	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	13-10-2022		

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00026581	Ramesh Chandak	Non-Executive - Independent Director	Chairperson	15-03-2018		
2	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Member	13-10-2022		
3	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	15-03-2018		

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Chairperson	16-07-2021		
2	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	16-07-2021		
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	16-07-2021		
4	02971181	Mohan Tanksale	Non-Executive - Independent Director	Member	16-07-2021		
5	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	16-07-2021		
6	01315143	Rajesh Bhutara	Chief Financial Officer	Member	16-07-2021		Textual Information(1)

Sr Text Block

Textual Information(1)

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment Date of Cessation Remarks
1	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Chairperson	15-03-2018
2	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	15-03-2018
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	15-03-2018

Other Committee

Sr DIN Number Name of Committee members Name of other committee Category 1 of directors Category 2 of directors Remarks

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	12-07-2023				Yes	7	7	4
2		12-10-2023	91		Yes	7	7	4

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter)	Maximum gap between any two consecutive (in number of	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the	Number of Directors Present (All Directors including	No. of Independent Directors attending the	No. of members attending the meeting (other
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	in chronological order)	days)		meeting	Independent Director)	meeting*	than Board of Directors)	
1	Audit Committee	12-07-2023	Yes	5	5	4	0	
2	Audit Committee	12-10-2023	91	Yes	5	5	4	0
3	Nomination and remuneration committee	12-07-2023	Yes	4	4	3	0	
4	Risk Management Committee	11-07-2023	Yes	4	4	2	1	

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes

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|---|---|-----|
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | Yes |

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	NITESH TANWAR
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter No

Signatory Details

Name of signatory	Nitesh Tanwar
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	31-12-2023